



## PRIVACY POLICY NOTICE

### FACTS WHAT DOES SPRAKER WEST WEALTH MANAGEMENT, INC. DO WITH YOUR PERSONAL INFORMATION?

<b>Why?</b>	Financial companies choose how they share your personal information. Federal law requires registered investment advisers to tell you how we collect, share, and protect your personal information. Federal and state laws also give consumers certain rights to limit some, but not all, information sharing. Please read this notice carefully to understand what we do.
<b>What?</b>	<p>The types of personal information we collect and share depend on the scope of the advisory services you engage us to provide. This information may include:</p> <ul style="list-style-type: none"> <li>• Social Security number, taxpayer identification number, Driver’s License and other identifying information</li> <li>• Contact information and date of birth</li> <li>• Employment, income, and net worth information</li> <li>• Investment experience, objectives, and risk tolerance</li> <li>• Account balances, transaction history, and assets held</li> <li>• Health information, to the extent reasonably necessary for financial, retirement, insurance, tax, or estate planning</li> </ul> <p>If you are no longer our client, we continue to safeguard your information and share it only as described in this notice.</p>
<b>How?</b>	All financial companies need to share clients’ personal information to operate their everyday business. Below we explain the reasons we may share personal information, whether Spraker West Wealth Management, Inc. (“SWWM”) shares such information, and whether you may limit this sharing.

Reasons We Can Share Your Personal Information	Does SWWM Share?	Can You Limit This Sharing?
<b>For everyday business purposes:</b> (e.g., to provide advisory services, process transactions, maintain accounts, respond to court orders or legal investigations)	<b>Yes</b>	<b>No</b>
<b>For our marketing purposes:</b>	<b>No</b>	<b>We do not share</b>
<b>For joint marketing with other financial companies:</b>	<b>No</b>	<b>We do not share</b>
<b>For affiliates’ everyday business purposes:</b>	<b>No</b>	<b>We do not share</b>
<b>For nonaffiliated firms to market to you:</b>	<b>No</b>	<b>We do not share</b>

**Questions?** Email: [info@sprakerwest.com](mailto:info@sprakerwest.com) | Phone: (407) 478-7899 | Visit: [www.sprakerwest.com](http://www.sprakerwest.com)

<b>Who We Are</b>	
<b>Who is providing this notice?</b>	Spraker West Wealth Management, Inc.
<b>What We Do</b>	
<b>How does SWWM protect my personal information?</b>	<p>We maintain a comprehensive information security program designed to safeguard nonpublic personal information. Our safeguards include administrative, technical, and physical measures that comply with federal and state standards, including:</p> <ul style="list-style-type: none"> <li>• Restricted access to personal information by authorized personnel</li> <li>• Encrypted systems and secure networks</li> <li>• Secure storage of paper and electronic records</li> <li>• Ongoing monitoring, testing, and updating of security controls</li> <li>• Policies and procedures addressing cybersecurity risks, data retention, and secure disposal</li> </ul>
<b>How does SWWM collect my personal information?</b>	<p>We collect personal information directly from you and from other sources necessary to provide advisory services, such as when you:</p> <ul style="list-style-type: none"> <li>• Enter into an investment advisory agreement</li> <li>• Provide account, financial, or planning information</li> <li>• Execute transactions or open accounts with custodians</li> <li>• Communicate with us regarding your financial goals</li> </ul> <p>We may also receive information from custodians, broker-dealers, insurance providers, accountants, attorneys, or other professionals you authorize.</p>
<b>Why Can't I Limit All Sharing?</b>	<p>Federal law allows you to limit only certain types of sharing, such as:</p> <ul style="list-style-type: none"> <li>• Sharing for affiliates' everyday business purposes related to creditworthiness</li> <li>• Sharing for nonaffiliates to market to you</li> </ul> <p>Because SWWM does not engage in these forms of sharing, no opt-out elections are required. State laws, including California law, may provide additional rights as described below.</p>

<b>Definitions</b>	
<b>Affiliates</b>	Companies related by common ownership or control. <i>(SWWM has no affiliates)</i>
<b>Nonaffiliates</b>	Companies not related by common ownership or control. <i>(SWWM does not share nonpublic personal information with nonaffiliates except as permitted by law)</i>
<b>Joint Marketing</b>	A formal agreement between nonaffiliated financial companies to jointly market products or services. <i>(SWWM does not engage in joint marketing.)</i>

<b>California and State Privacy Rights</b>
<p>If you are a California resident, you may have additional rights under applicable state privacy laws, including the right to receive disclosures regarding the collection and use of your personal information and the right to request access to or correction of certain personal information, subject to legal exceptions. SWWM does not sell or share personal information for cross-context behavioral advertising.</p> <p>Residents of other states may also have additional privacy rights under applicable state laws. Requests may be submitted using the contact information above.</p>

<b>Other Important Information</b>
<p>We retain personal information for the duration of the client relationship and thereafter as required by federal and state law and our record retention policies. If we are required to discuss personal or health-related information with third parties (such as insurance professionals) to serve you, we will do so only with your consent or as otherwise permitted by law. No mobile information will be shared with third parties/affiliates for marketing/promotional purposes. All other categories exclude text messaging originator opt-in data and consent; this information will not be shared with any third parties. This notice will be provided to all clients upon their initial engagement with SWWM and on an annual basis thereafter.</p>