

# PRIVACY POLICY STATEMENT

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Spraker West Wealth Management, Inc., an independent financial planning and wealth management firm, is committed to safeguarding the confidential information of its clients. We hold all personal information and records provided to our firm in the strictest confidence. These records include all personal information that we collect from you in connection with any of the services provided by our company.

We will not change our privacy policy statement without notifying you first. We use health and financial information that clients provide to help meet clients' personal financial goals. Key points of our privacy policy are as follows:

- We limit the access of client personal information to employees and agents who have a business or professional reason for knowing. Access to client personal information is only granted to nonaffiliated third parties as permitted or required by law. For example, Federal regulation permits us to share limited information with a brokerage firm in order to execute securities transactions.
- We will internally safeguard personal information by restricting access only to those employees who provide services to clients or those who need access to client information to service client accounts. In addition, we will maintain policies and procedures to maintain physical and electronic privacy.
- The categories of nonpublic personal information that we collect from a client depend upon the scope of the client engagement. It will include information about: personal finances, health to the extent that is needed for the planning process, and transactions with third parties.
- It is important for clients to share personal health information with us so that we can properly develop and manage a Financial Plan. This management includes projections for the longevity of the portfolio as well as risk protection (i.e., disability and long-term care planning), estate planning, and tax planning (i.e., high deductible medical costs in any particular year). If we find it necessary to discuss health information with a third party such as an insurance professional to help you obtain quotes, we will first obtain verbal or written permission.
- For nonaffiliated third parties that require access to personal information, including financial service companies, consultants, and auditors, we also require strict confidentiality in our agreements with them and expect them to keep personal information private. Federal and State Regulators also may review personal records as permitted and required under law.
- We do not provide personally identifiable information to mailing list vendors or solicitors for any purpose under any circumstances.
- Personally identifiable information will be maintained during the duration of a client relationship and thereafter in accordance with federal and state law and the CFP Board's Code of Ethics and Professional Responsibility.



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